

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10563173	
	Filing Date			
	First Named Inventor	Tuija Sourtti		
	Art Unit			
	Examiner Name			
	Attorney Docket Number	OUTT 3399		

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	1	6475261		2002-11-05	Matsumoto et al		

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/John Sheehan/

03/01/2008

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**INFORMATION DISCLOSURE
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1	Scripta Materialia, Volume 48, 2003, G. Mogylnyy et al, "Crystal Structure and Twinning in Martensite of Ni _{1.96} Mn _{1.18} Ga _{0.86} Magnetic Shape Memory Alloy," available online at www.sciencedirect.com , pages 1427-1432	<input type="checkbox"/>
2	Journal of Alloys and Compounds, Volume 312, 2000, D.L. Schlagel et al, "Chemical Segregation During Bulk Single Crystal Preparation of Ni-Mn-Ga Ferromagnetic Shape Memory Alloys," available online at www.sciencedirect.com , pages 77-85	<input type="checkbox"/>
3	Scripta Materialia, Volume 36, No. 10, 1997, K. Ullakko et al, "Magnetically Controlled Shape Memory Effect in Ni ₂ MnGa Intermetallics," available online at www.sciencedirect.com , pages 1133-1138	<input type="checkbox"/>

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Examiner Signature	/John Sheehan/	Date Considered	03/01/2008
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.S./

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**INFORMATION DISCLOSURE
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(Not for submission under 37 CFR 1.99)**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

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- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/John Smith-Hill/	Date (YYYY-MM-DD)	2006-09-19
Name/Print	John Smith-Hill	Registration Number	27,730

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